



Responsible Department: Office of Research and Sponsored Programs

Recommended By: SVC for Business and Financial Affairs; Provost and Executive Vice Chancellor

Approved By: Chancellor

Policy Number
ORSP 1.10.071

Effective Date
11/__/2024

I. INTRODUCTION

This Policy outlines the University’s adherence to the non-procurement debarment and suspension regulations implementing [Executive Orders 12549](#) and [12689, 2 CFR part 180](#). These regulations restrict awards, sub-awards, and contracts with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in federal or state assistance programs or activities.

II. POLICY OVERVIEW

The University will not use funds from any source to purchase goods and/or secure services from an individual or entity that is designated as Suspended, Debarred or is otherwise excluded from, or ineligible for participation in, federal or state assistance programs or activities.

III. PROCESS OVERVIEW

- A. The University will screen all prospective Vendors or contractors on the System for Award Management (SAM) [website](#) (or the applicable state exclusion database) prior to the issuance of a purchase order or subcontract.
- B. The University will not engage in business with an individual or entity that is determined to be Suspended, Debarred, or otherwise Excluded from participating in government business.
- C. For any contract between the University and an individual or entity, where the individual or entity is to be compensated with funds that originated from the federal government, the University will obtain a certification from the contracting individual or entity that, to the best of its knowledge and belief, it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency in accordance with 2 CFR 200.213 and 2 CFR 180.

IV. DEFINITIONS

- A. **“Excluded”** means an individual or entity that is prohibited from engaging in business to include receiving funds from federal or state grants, entering into contracts, cooperative agreements and certain types of financial or non-financial assistance and benefit from the federal or a state government because the individual has engaged in misconduct making them ineligible to receive federal or state funding.
- B. **“Suspended”** means an individual or entity Excluded for a temporary period of time pending debarment proceedings for suspicion of criminal, fraudulent or otherwise improper conduct.
- C. **“Debarred”** means an individual or entity that has been Excluded after being found through a debarment proceeding to have engaged in criminal, fraudulent or otherwise improper conduct.
- D. **“SAM.gov”** is the **“System for Award Management”**, the federal governments repository of restricted parties, comprised of individuals and entities who are ineligible to receive federal funds due to Debarment, Suspension, or Exclusion proceedings.

V. RESOURCES

- A. Guidelines to Agencies on Government-Wide Debarment and Suspension, 2 C.F.R. § 180
- B. Debarment and Suspension, Executive Order 12549
- C. Certification Regarding Responsibility Matters, F.A.R. 52.209-5
- D. University Policy FINA 2.20.022 – *Procurement*

Revision Date	Effective	Purpose